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PTO/SB/05 (03-01)

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Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | | | |
|----|-------------------------------------|---|-------------------------|
| 1. | <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> | |
| 2. | <input checked="" type="checkbox"/> | Applicant claims small entity status.
See 37 CFR 1.27. | |
| 3. | <input checked="" type="checkbox"/> | Specification
<i>(continued examination set form below)</i> | [Total Pages 29] |
| | | <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | |
| 4. | <input checked="" type="checkbox"/> | Drawings(s) (35 U.S.C. 113) | [Total Sheets 4] |
| 5. | | Oath or Declaration | [Total Pages 33] |
| | a. | <input type="checkbox"/> Newly executed (original or copy) | |
| | b. | <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))
<i>(for continuation/divisional with Box 18 completed)</i> | |
| | i. | <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.35(b). | |
| 6. | <input type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 | |

Attorney Docket No.

First Inventor MAXIMO BARON

Title

Express Mail Label No.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- | | | | |
|-----|-------------------------------------|---|--|
| 9. | <input type="checkbox"/> | Assignment Papers (cover sheet & document(s)) | |
| 10. | <input type="checkbox"/> | 37 CFR 3.73(b) Statement
(when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. | <input type="checkbox"/> | English Translation Document (if applicable) | |
| 12. | <input type="checkbox"/> | Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 13. | <input type="checkbox"/> | Preliminary Amendment | |
| 14. | <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) | |
| 15. | <input checked="" type="checkbox"/> | Certified Copy of Priority Document(s)
(if foreign priority is claimed) | |
| 16. | <input type="checkbox"/> | Nonpublication Request under 35 U.S.C. 122
(PTO/SB30). Applicant must attach form PTO/SB35
or its equivalent. | |
| 17. | <input type="checkbox"/> | Other: | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

or ☒ Correspondence address below

Name _____

MAXIMO BARON

SANTA FE 3586 74 "B"

Address

BUENOS AIRES

State

Zip Code

1425

Country

ARGENTINA

Telephone 54-11-4824-ZCS

Fax 541-4821-4887

Name (Print/Type)

Registration No. (Attorney/Agent)

Signature

Date _____

Nov. 5, 2001

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
400 =**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	MAXIMO BARON
Examiner Name	
Group Art Unit	
Attorney Docket No.	

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)
370**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE CLAIMS**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)
0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	239 130	Non-English specification	
147 2,520	147 2,520	For filing a request for α parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(g)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0**SUBMITTED BY**

Name (Print/Type)	MAXIMO BARON
Signature	<i>Maximo Baron</i>

Registration No.
(Attorney/Agent)**Complete if (if applicable)**

Telephone	
Date	Nov. 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Buenos Aires, November 5, 2001

Máximo Barón
Doctor en Química

Assistant Commissioner of Patents
Box Patent Application
Washington DC, 20231
U. S. A.

Sir:

I am sending herewith a Patent Application that I wish to submit at the U.S. Patent Office. In preparing it I have tried to follow, as closely as possible, the instructions available in the US Patent office Website. Therefore enclosed you will find what, to the best of my knowledge, is all the pertinent documentation.

I do have two problems, that I have tried to solve in a way that I hope will be found acceptable.

1. I would like to receive a card with the indication that what I sent has been received, so I am enclosing a self addressed card with all the sent items indicated, but I do not know how to send the appropriate postage, therefore I have adopted the solution indicated in the next paragraph.
2. I am enclosing a personal check, on a US bank, for the amount of US\$ 400.- that I trust will cover the filing fee, plus any postage expenses that may be necessary. If a balance remains, I understand that it can be credited to future payments I may have to make.

Thanking you in advance I will be looking forward to your confirmation of arrival.

Yours sincerely,

Máximo Barón

Dr. Máximo Barón
Santa Fe 3586 7th "B"
1425 Buenos Aires
Argentina
Tel. 54-11-4824-7655
FAX No. 54-11-4821-4887
E-mail: baron@ub.edu.ar

Buenos Aires, November 5, 2001

Maximo Barón
Doctor en Química

Assistant Commissioner of Patents

Box Patent Application

Washington DC, 20231

U. S. A.

Sir:

Hereby I am requesting that the enclosed Patent Application be considered as submitted by an **Individual Applicant**, because I am sending it on my own. The work therein described was

performed to a large extent with my personal funds.

Thanking you in advance for your attention, I remain

Yours very truly



Dr. Máximo Barón

Santa Fe 3586 7th "B"

1425 Buenos Aires

Argentina

Tel. 54-11-4824-7655

FAX No.: 54-11-4821-4887

E-mail: baron@ub.edu.ar